

# Poly- $\mathbb{Z}$ group actions on Kirchberg algebras II

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August, 2016

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## Goal

Classify outer actions of poly- $\mathbb{Z}$  groups on Kirchberg algebras up to  $KK$ -trivial cocycle conjugacy (as much as possible).

- A  $C^*$ -algebra  $A$  is called a **Kirchberg algebra** if  $A$  is separable, nuclear, simple and purely infinite.
- A **poly- $\mathbb{Z}$  group** is a group of the form  $((\mathbb{Z} \rtimes \mathbb{Z}) \rtimes \dots) \rtimes \mathbb{Z} \rtimes \mathbb{Z}$ .
- $\alpha, \beta : G \curvearrowright A$  are said to be  **$KK$ -trivially cocycle conjugate** if  $\exists \alpha$ -cocycle  $(u_g)_g$ ,  $\exists \theta \in \text{Aut}(A)$  such that  $KK(\theta) = 1$  and  $\text{Ad } u_g \circ \alpha_g = \theta \circ \beta_g \circ \theta^{-1}$  holds for all  $g \in G$ .

- ✓① Conjecture and partial answers
- ✓② Equivariant version of Nakamura's theorem
  - ③ Uniqueness of outer  $G$ -actions on  $\mathcal{O}_\infty$
  - ④ Absorption of outer  $G$ -actions on  $\mathcal{O}_\infty$
  - ⑤ Stability
  - ⑥ Classification

## Equivariant Nakamura's theorem

Let us recall what we have done !

- $G \cong N \rtimes \langle \xi \rangle$
- $\alpha, \beta : G \curvearrowright A$  are outer actions on a Kirchberg algebra  $A$ .
- $\alpha|_N, \beta|_N$  are asymptotically representable.
- $\beta|_N$  is a cocycle perturbation of  $\alpha|_N$ .
- $\alpha_\xi, \beta_\xi \in \text{Aut}(A)$  extend to the crossed products.

### Theorem (Equivariant Nakamura's theorem)

*If  $KK(\tilde{\alpha}_\xi) = KK(\theta \circ \tilde{\beta}_\xi \circ \theta^{-1})$ , then  $\alpha : G \curvearrowright A$  is  $KK$ -trivially cocycle conjugate to  $\beta : G \curvearrowright A$ .*

## Uniqueness of actions on $\mathcal{O}_\infty$ (1/4)

By using equivariant Nakamura's theorem repeatedly, we want to prove the following.

### Theorem (Uniqueness of $G \curvearrowright \mathcal{O}_\infty$ )

*Let  $G$  be a poly- $\mathbb{Z}$  group.*

*Any outer actions  $G \curvearrowright \mathcal{O}_\infty$  are cocycle conjugate to each other.*

*In particular, they are asymptotically representable.*

The proof is by induction on the Hirsch length of  $G$ . When  $G = \mathbb{Z}$ , this is a corollary of Nakamura's theorem. Assume we have known the theorem for poly- $\mathbb{Z}$  groups with Hirsch length less than  $l$ .

Let  $G$  be a poly- $\mathbb{Z}$  group with Hirsch length  $l$ .

Then  $G$  is of the form  $N \rtimes \langle \xi \rangle$ , where  $N \triangleleft G$  is a poly- $\mathbb{Z}$  group with Hirsch length  $l-1$  and  $\xi \in G$  is of infinite order.

Suppose that outer actions  $\alpha, \beta : G \curvearrowright \mathcal{O}_\infty$  are given.

We want to prove that  $\alpha$  is cocycle conjugate to  $\beta$ .

## Uniqueness of actions on $\mathcal{O}_\infty$ (2/4)

Let  $\alpha, \beta : G \curvearrowright \mathcal{O}_\infty$  be outer actions.

By the induction hypothesis, outer actions  $N \curvearrowright \mathcal{O}_\infty$  are unique up to cocycle conjugacy. Hence  $\alpha|_N$  and  $\beta|_N$  are cocycle conjugate, and (in particular) they are asymptotically representable.

There exists an isomorphism  $\theta : \mathcal{O}_\infty \rtimes_\beta N \rightarrow \mathcal{O}_\infty \rtimes_\alpha N$  such that

$$\theta(\mathcal{O}_\infty) = \mathcal{O}_\infty, \quad \theta(\lambda_g^\beta) = u_g \lambda_g^\alpha \quad \forall g \in N,$$

where  $(u_g)_g$  is an  $\alpha$ -cocycle.

Let  $\iota_\alpha : C^*(N) \rightarrow \mathcal{O}_\infty \rtimes_\alpha N$  and  $\iota_\beta : C^*(N) \rightarrow \mathcal{O}_\infty \rtimes_\beta N$  be the canonical inclusions.

By induction, we can prove that  $KK(\iota_\alpha)$  and  $KK(\iota_\beta)$  are invertible. (Note:  $\mathcal{O}_\infty$  is  $KK$ -equivalent to  $\mathbb{C}$ .)

## Uniqueness of actions on $\mathcal{O}_\infty$ (3/4)

$$\begin{array}{ccc}
 \mathcal{O}_\infty \rtimes_\beta N & \xrightarrow{\theta} & \mathcal{O}_\infty \rtimes_\alpha N \\
 & \swarrow \iota_\beta & \nearrow \iota_\alpha \\
 & C^*(N) &
 \end{array}$$

With some extra effort (using asymptotical representability), we can make  $KK(\theta) = KK(\iota_\alpha) \cdot KK(\iota_\beta)^{-1}$ .

Then

$$\begin{aligned}
 & KK(\theta \circ \tilde{\beta}_\xi \circ \theta^{-1}) \\
 &= KK(\iota_\alpha) \cdot KK(\iota_\beta)^{-1} \cdot KK(\tilde{\beta}_\xi) \cdot KK(\iota_\beta) \cdot KK(\iota_\alpha)^{-1} \\
 &= KK(\tilde{\alpha}_\xi).
 \end{aligned}$$

Thanks to equivariant Nakamura's theorem, we can conclude that  $\alpha : G \curvearrowright \mathcal{O}_\infty$  is cocycle conjugate to  $\beta : G \curvearrowright \mathcal{O}_\infty$ .

This completes the induction.

## Uniqueness of actions on $\mathcal{O}_\infty$ (4/4)

In the same way as  $\mathcal{O}_\infty$ , we can prove the following.

### Theorem (Izumi-M)

*Let  $G$  be a poly- $\mathbb{Z}$  group. If  $A$  is either  $\mathcal{O}_2$ ,  $\mathcal{O}_\infty$  or  $\mathcal{O}_\infty \otimes B$  with  $B$  being a UHF algebra of infinite type, there exists a unique cocycle conjugacy class of outer  $G$ -actions on  $A$ .*



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## McDuff type theorem

Next, we want to prove that any outer action  $G \curvearrowright A$  absorbs tensorially the outer action  $G \curvearrowright \mathcal{O}_\infty$ .

To this end, we need the following theorem.

### Theorem (McDuff type theorem)

Let  $G$  be a countable discrete group.

Let  $\alpha : G \curvearrowright A$  and  $\beta : G \curvearrowright B$  be actions on unital separable  $C^*$ -algebras. Suppose that the following conditions hold.

- 1  $\exists$  unital homo.  $\pi : B \rightarrow A_\omega$  s.t.  $\pi \circ \beta_g = \alpha_g \circ \pi \ \forall g \in G$ .
- 2  $\exists$  sequence  $(v_n)_n$  of unitaries in  $U(B \otimes B)_0$  such that

$$v_n(b \otimes 1)v_n^* \rightarrow 1 \otimes b, \quad (\beta_g \otimes \beta_g)(v_n) - v_n \rightarrow 0.$$

Then  $\alpha : G \curvearrowright A$  is cocycle conjugate to  $\alpha \otimes \beta : G \curvearrowright A \otimes B$  via an isomorphism  $\psi : A \rightarrow A \otimes B$  which is asymptotically unitarily equivalent to the embedding  $A \ni a \mapsto a \otimes 1 \in A \otimes B$ .

## Absorption of actions on $\mathcal{O}_\infty$ (1/4)

Let  $G$  be an infinite countable group.

Suppose that  $\mathcal{O}_\infty$  is generated by isometries  $(s_g)_{g \in G}$ .

Define  $\beta : G \curvearrowright \mathcal{O}_\infty$  by  $\beta_g(s_h) = s_{gh}$  for all  $g, h \in G$ .

### Lemma

*Let  $\alpha : G \curvearrowright A$  be an outer action on a unital Kirchberg algebra. Then  $(\mathcal{O}_\infty, \beta)$  (defined above) is embeddable into  $(A_\omega, \alpha)$ .*

### Proof.

Since  $\alpha : G \curvearrowright A_\omega$  is outer and  $A_\omega$  is purely infinite simple, one can find a nonzero projection  $e \in A_\omega$  such that  $(\alpha_g(e))_g$  are mutually orthogonal. By replacing  $e$  with a smaller one if necessary, we may assume that  $e$  has the same  $K_0$ -class as  $1 \in A_\omega$ . Choose an isometry  $t \in A_\omega$  such that  $tt^* = e$ . Define a homomorphism  $\pi : \mathcal{O}_\infty \rightarrow A_\omega$  by  $\pi(s_g) = \alpha_g(t)$ , which meets the requirement.  $\square$

## Absorption of actions on $\mathcal{O}_\infty$ (2/4)

Let  $\beta : G \curvearrowright \mathcal{O}_\infty$  be as before.

### Lemma

*Suppose that  $\beta \otimes \beta : G \curvearrowright \mathcal{O}_\infty \otimes \mathcal{O}_\infty$  is approximately representable. Then there exists a sequence  $(v_n)_n$  of unitaries in  $U(\mathcal{O}_\infty \otimes \mathcal{O}_\infty)_0$  such that*

$$v_n(b \otimes 1)v_n^* \rightarrow 1 \otimes b, \quad (\beta_g \otimes \beta_g)(v_n) - v_n \rightarrow 0.$$

### Proof.

Let  $\rho_l, \rho_r$  be the two embeddings

$$\mathcal{O}_\infty \rtimes_\beta G \rightarrow (\mathcal{O}_\infty \otimes \mathcal{O}_\infty) \rtimes_{\beta \otimes \beta} G.$$

Since  $KK(\rho_l) = KK(\rho_r)$ ,  $\exists u_n \in (\mathcal{O}_\infty \otimes \mathcal{O}_\infty) \rtimes_{\beta \otimes \beta} G$  such that  $\text{Ad } u_n \circ \rho_l \rightarrow \rho_r$ . By using approximate representability of  $\beta \otimes \beta$ , we can replace  $u_n$  with  $v_n \in \mathcal{O}_\infty \otimes \mathcal{O}_\infty$ . □

## Absorption of actions on $\mathcal{O}_\infty$ (3/4)

Let  $G$  be a poly- $\mathbb{Z}$  group and let  $\beta : G \curvearrowright \mathcal{O}_\infty$  be an outer action, which is unique up to cocycle conjugacy.

Let  $\alpha : G \curvearrowright A$  be an outer action on a unital Kirchberg algebra.

We have verified the following:

- $(\mathcal{O}_\infty, \beta)$  is embeddable to  $(A_\omega, \alpha)$ .
- The flip on  $\mathcal{O}_\infty \otimes \mathcal{O}_\infty$  is ' $\beta$ -equivariantly' approximately inner.

So, the McDuff type theorem applies and yields:

### Theorem

*Let  $\alpha : G \curvearrowright A$  and  $\beta : G \curvearrowright \mathcal{O}_\infty$  be as above.*

*Then,  $\alpha : G \curvearrowright A$  is cocycle conjugate to  $\alpha \otimes \beta : G \curvearrowright A \otimes \mathcal{O}_\infty$  via an isomorphism  $\psi : A \rightarrow A \otimes \mathcal{O}_\infty$  which is asymptotically unitarily equivalent to the embedding  $A \ni a \mapsto a \otimes 1 \in A \otimes \mathcal{O}_\infty$ .*

## Absorption of actions on $\mathcal{O}_\infty$ (4/4)

Why is the  $\mathcal{O}_\infty$ -absorption useful?

Because good properties of  $\beta : G \curvearrowright \mathcal{O}_\infty$  are transmitted to  $\alpha \otimes \beta : G \curvearrowright A \otimes \mathcal{O}_\infty$ , and hence to  $\alpha : G \curvearrowright A$ .

- Assume  $G$  is of the form  $G = N \rtimes \langle \xi \rangle$ . The automorphism  $\beta_\xi$  has ‘Rohlin projections’ in  $(\mathcal{O}_\infty)_\omega^{\beta|N}$ , i.e.  $\forall m \in \mathbb{N}, \exists$  partition of unities consisting of projections  $e_0, e_1, \dots, e_{m-1}, f_0, f_1, \dots, f_m$  in  $(\mathcal{O}_\infty)_\omega^{\beta|N}$  such that

$$\beta_\xi(e_i) = e_{i+1}, \quad \beta_\xi(f_j) = f_{j+1}.$$

- $(\mathcal{O}_\infty)_\omega^\beta$  and  $(\mathcal{O}_\infty)_b^\beta$  contain unital copies of  $\mathcal{O}_\infty$ . So, one can use Nakamura’s homotopy lemma in  $(\mathcal{O}_\infty)_\omega^\beta$  and  $(\mathcal{O}_\infty)_b^\beta$ .

Thanks to the  $\mathcal{O}_\infty$ -absorption theorem, we can conclude that any outer action  $\alpha : G \curvearrowright A$  also has these properties.

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## Nakamura's stability theorem (1/2)

Let  $\alpha : \mathbb{Z} \curvearrowright A$  be an outer action on a unital Kirchberg algebra  $A$ .

### Theorem (Nakamura 2000)

For any sequence of unitaries  $x_n \in U(C([0, 1], A))$  satisfying

$$x_n(0) = 1, \quad \lim_{n \rightarrow \infty} \sup_{s \in [0, 1]} \|[x_n(s), a]\| = 0 \quad \forall a \in A,$$

there exists a sequence of unitaries  $y_n \in U(A)_0$  such that

$$\lim_{n \rightarrow \infty} \|[y_n, a]\| = 0 \quad \forall a \in A,$$

and

$$\lim_{n \rightarrow \infty} \|x_n(1) - y_n \alpha(y_n^*)\| = 0.$$

Indeed, we have already used this stability theorem (or its variant) in the proof of the equivariant Nakamura's theorem.



## Nakamura's stability theorem (2/2)

Let us review a rough sketch of his proof.

The given unitaries  $x_n \in C([0, 1], A)$  are not necessarily equicontinuous, that is, the Lipschitz constant  $\text{Lip}(x_n)$  are not necessarily bounded. First, since  $\mathcal{O}_\infty$  is embedded into  $A_\omega$ , we may replace  $x_n$  with  $x'_n \in C([0, 1], A)$  satisfying

$$x'_n(0) = 1, \quad x'_n(1) = x_n(1), \quad \text{Lip}(x'_n) < 6\pi,$$

$$\lim_{n \rightarrow \infty} \sup_{s \in [0, 1]} \|[x'_n(s), a]\| = 0 \quad \forall a \in A.$$

Then, by using the Rohlin property of  $\alpha \in \text{Aut}(A)$ , we can construct unitaries  $y_n \in U(A)_0$  such that

$$\|[y_n, a]\| \rightarrow 0 \quad \forall a \in A, \quad \|x'_n(1) - y_n \alpha(y_n^*)\| \rightarrow 0.$$

We want to establish a 'poly- $\mathbb{Z}$  version' of this theorem.

## Stability (1/2)

We want to establish a ‘poly- $\mathbb{Z}$  version’ of stability.

Let  $\alpha : G \curvearrowright A$  be an action of a poly- $\mathbb{Z}$  group  $G$  on a unital (not necessarily separable)  $C^*$ -algebra, and let  $\beta : G \curvearrowright \mathcal{O}_\infty$  be an outer action (which is unique up to cocycle conjugacy).

We say that  $\alpha$  **accepts**  $(\mathcal{O}_\infty, \beta)$  if for any separable subset  $S \subset A^\omega$  there exists a unital homomorphism  $\varphi : \mathcal{O}_\infty \rightarrow A^\omega \cap S'$  such that  $\varphi \circ \beta_g = \alpha_g \circ \varphi$  for all  $g \in G$ .

- If  $A$  is separable, then by the McDuff type theorem,  $\alpha : G \curvearrowright A$  is cocycle conjugate to  $\alpha \otimes \beta : G \curvearrowright A \otimes \mathcal{O}_\infty$ .
- If  $A$  is a unital Kirchberg algebra and  $\alpha$  is outer, then  $\alpha$  accepts  $(\mathcal{O}_\infty, \beta)$  (by the absorption theorem).

## Stability (2/2)

### Theorem (poly- $\mathbb{Z}$ stability)

Let  $\alpha : G \curvearrowright A$  be an action of a poly- $\mathbb{Z}$  group  $G$  on a unital separable  $C^*$ -algebra  $A$ , which accepts  $(\mathcal{O}_\infty, \beta)$ .

Let  $I \subset A$  be an ideal. Suppose that a family  $(x_g)_{g \in G}$  of continuous maps from  $[0, 1] \times [0, \infty)$  to  $U(I)$  satisfies

$$x_g(0, t) = 1, \quad \lim_{t \rightarrow \infty} \max_{s \in [0, 1]} \|[x_g(s, t), a]\| = 0 \quad \forall g \in G, a \in A,$$

$$\lim_{t \rightarrow \infty} \max_{s \in [0, 1]} \|x_g(s, t)\alpha_g(x_h(s, t)) - x_{gh}(s, t)\| = 0 \quad \forall g, h \in G.$$

Then there exists a continuous map  $y : [0, \infty) \rightarrow U(I)$  such that

$$\lim_{t \rightarrow \infty} \|[y(t), a]\| = 0 \quad \forall a \in A,$$

$$\lim_{t \rightarrow \infty} \|x_g(1, t) - y(t)\alpha_g(y(t)^*)\| = 0 \quad \forall g \in G.$$