

# Various examples of topological full groups

Hiroki Matui

Chiba University

June 1, 2015

Growth, Symbolic Dynamics and  
Combinatorics of Words in Groups  
École normale supérieure

## Overview

dynamics on Cantor set  $X$



$C_r^*(\mathcal{G})$   
 $K_i(C_r^*(\mathcal{G}))$



étale groupoid  $\mathcal{G}$   
with  $\mathcal{G}^{(0)} = X$



topological  
full group  
 $[[\mathcal{G}]] \subset \text{Homeo}(X)$



$K_i(C_r^*(\mathcal{G}))$   
vs.  $H_n(\mathcal{G})$

homology group of  $\mathcal{G}$   
 $H_n(\mathcal{G}), n = 0, 1, 2, \dots$

index map  
 $I : [[\mathcal{G}]] \rightarrow H_1(\mathcal{G})$   
 $[[\mathcal{G}]]_{\text{ab}}$  vs.  $H_n(\mathcal{G})$

# Étale groupoid

A groupoid  $\mathcal{G}$  is a 'group-like' algebraic object, in which the product may not be defined for all pairs in  $\mathcal{G}$ .

- $g \in \mathcal{G}$  is thought of as an arrow  $\bullet \xleftarrow{g} \bullet$ .
- $r : g \mapsto gg^{-1}$  is called the range map.
- $s : g \mapsto g^{-1}g$  is called the source map.
- $\mathcal{G}^{(0)} = r(\mathcal{G}) = s(\mathcal{G}) \subset \mathcal{G}$  is called the unit space.

$\mathcal{G}$  is an **étale groupoid** if  $\mathcal{G}$  is equipped with a locally compact Hausdorff topology compatible with the groupoid structure and the range (or source) map is a local homeomorphism.

In what follows, we assume that  $\mathcal{G}$  is essentially principal (  $\iff$  topologically free) and that  $\mathcal{G}^{(0)}$  is a Cantor set.

## Topological full group

A compact open set  $U \subset \mathcal{G}$  is called a  $\mathcal{G}$ -set if both  $r|U$  and  $s|U$  are injective.

The **topological full group**  $[[\mathcal{G}]]$  is defined by

$$[[\mathcal{G}]] = \left\{ \gamma \in \text{Homeo}(\mathcal{G}^{(0)}) \mid \exists \mathcal{G}\text{-set } U, \gamma = (r|U) \circ (s|U)^{-1} \right\}.$$

When  $\varphi : \Gamma \curvearrowright X$  is a group action on a Cantor set  $X$ ,  $\mathcal{G}_\varphi = \Gamma \times X$  becomes an étale groupoid in a natural way. In this situation,

$$\gamma \in [[\mathcal{G}_\varphi]] \iff \exists \text{conti. map } c : X \rightarrow \Gamma, \gamma(x) = \varphi_{c(x)}(x).$$

# Isomorphism theorem

## Theorem

For minimal groupoids  $\mathcal{G}_1$  and  $\mathcal{G}_2$ , the following are equivalent.

- 1  $\mathcal{G}_1$  is isomorphic to  $\mathcal{G}_2$  as an étale groupoid.
- 2  $[[\mathcal{G}_1]]$  is isomorphic to  $[[\mathcal{G}_2]]$  as a group.
- 3  $D([[ \mathcal{G}_1 ]])$  is isomorphic to  $D([[ \mathcal{G}_2 ]])$  as a group.
- 4 There exists an isomorphism  $\pi : C_r^*(\mathcal{G}_1) \rightarrow C_r^*(\mathcal{G}_2)$  such that  $\pi(C(\mathcal{G}_1^{(0)})) = C(\mathcal{G}_2^{(0)})$ .

Thus,  $[[\mathcal{G}]]$  (or  $D([[ \mathcal{G} ]])$ ) 'remembers'  $\mathcal{G}$ .

## Homology group

$H_n(\mathcal{G})$  are the homology groups of the chain complex

$$0 \longleftarrow C(\mathcal{G}^{(0)}, \mathbb{Z}) \xleftarrow{\delta_1} C_c(\mathcal{G}^{(1)}, \mathbb{Z}) \xleftarrow{\delta_2} C_c(\mathcal{G}^{(2)}, \mathbb{Z}) \xleftarrow{\delta_3} \dots,$$

where  $\mathcal{G}^{(n)}$  is the space of composable strings of  $n$  elements.

$$\delta_1(f)(x) = \sum_{s(g)=x} f(g) - \sum_{r(g)=x} f(g)$$

So,

$$H_0(\mathcal{G}) = C(\mathcal{G}^{(0)}, \mathbb{Z}) / \langle 1_{s(U)} - 1_{r(U)} \mid U \text{ is a } \mathcal{G}\text{-set} \rangle.$$

If  $U$  is a  $\mathcal{G}$ -set such that  $s(U) = r(U) = G^{(0)}$ , then  $1_U \in \text{Ker } \delta_1$ . Hence, one can define the **index map**  $I : [[\mathcal{G}]] \rightarrow H_1(\mathcal{G})$ .

## Two conjectures

Let  $\mathcal{G}$  be an essentially principal minimal étale groupoid with  $\mathcal{G}^{(0)}$  a Cantor set.

### HK Conjecture

There exist isomorphisms

$$\bigoplus_{i=0}^{\infty} H_{2i}(\mathcal{G}) \cong K_0(C_r^*(\mathcal{G})), \quad \bigoplus_{i=0}^{\infty} H_{2i+1}(\mathcal{G}) \cong K_1(C_r^*(\mathcal{G})).$$

### AH Conjecture

There exists a short exact sequence

$$0 \longrightarrow H_0(\mathcal{G}) \otimes \mathbb{Z}_2 \longrightarrow [[\mathcal{G}]]_{\text{ab}} \xrightarrow{I} H_1(\mathcal{G}) \longrightarrow 0.$$

By 'weak AH', we mean

$H_0(\mathcal{G}) \otimes \mathbb{Z}_2 \rightarrow [[\mathcal{G}]]_{\text{ab}} \rightarrow H_1(\mathcal{G}) \rightarrow 0$  is exact.

## Minimal $\mathbb{Z}$ actions

Let  $\varphi : \mathbb{Z} \curvearrowright X$  be a minimal action and consider  $\mathcal{G}_\varphi$ .

$H_0(\mathcal{G}_\varphi) \cong K_0(C_r^*(\mathcal{G}_\varphi))$ ,  $H_1(\mathcal{G}_\varphi) \cong K_1(C_r^*(\mathcal{G}_\varphi)) \cong \mathbb{Z}$  and  $H_n(\mathcal{G}_\varphi) = 0$  for  $n \geq 2$ . So, **HK conjecture** holds.

**AH conjecture** holds, i.e.  $[[\mathcal{G}_\varphi]]_{\text{ab}} \cong \mathbb{Z} \oplus (H_0(\mathcal{G}_\varphi) \otimes \mathbb{Z}_2)$ , and  $D([[ \mathcal{G}_\varphi ]])$  is simple.

$D([[ \mathcal{G}_\varphi ]])$  is finitely generated iff  $\varphi$  is expansive.

$\mathcal{G}_{\varphi_1} \cong \mathcal{G}_{\varphi_2}$  iff  $\varphi_1$  is flip conjugate to  $\varphi_2$  (Boyle-Tomiyama 1998).

$[[ \mathcal{G}_\varphi ]]$  is amenable (Juschenko-Monod 2013).



## Minimal $\mathbb{Z}^N$ actions

Let  $\varphi : \mathbb{Z}^N \curvearrowright X$  be a free minimal action and consider  $\mathcal{G}_\varphi$ .

When  $N = 1, 2$ , **HK conjecture** holds. But, it's not known in general. The Chern character tells us that HK is true after tensoring the rationals.

We can show that **weak AH** holds for  $\mathcal{G}_\varphi$ , i.e.

$$H_0(\mathcal{G}_\varphi) \otimes \mathbb{Z}_2 \longrightarrow [[\mathcal{G}_\varphi]]_{\text{ab}} \xrightarrow{I} H_1(\mathcal{G}_\varphi) \longrightarrow 0$$

is exact. But, we do not know if AH is true or not.

$D([[ \mathcal{G}_\varphi ]])$  is known to be simple.

$[[\mathcal{G}_\varphi]]$  is sometimes amenable and sometimes not (Elek-Monod 2013).

## One-sided shifts of finite type (1/2)

Let  $(\mathcal{V}, \mathcal{E})$  be an irreducible finite directed graph and let  $A$  be the adjacency matrix.

Set

$$X = \{(x_k)_k \in \mathcal{E}^{\mathbb{N}} \mid t(x_k) = i(x_{k+1}) \quad \forall k \in \mathbb{N}\},$$

The one-sided shift on  $X$  is called a **shift of finite type (SFT)**.

When  $\#\mathcal{V}=1$  and  $\#\mathcal{E}=N$ , it's called the full shift over  $N$  symbols.

We can construct  $\mathcal{G}_A$  from the SFT.

It is known  $H_0(\mathcal{G}_A) \cong \text{Coker}(\text{id} - A^t)$ ,  $H_1(\mathcal{G}_A) \cong \text{Ker}(\text{id} - A^t)$  and  $H_n(\mathcal{G}_A) = 0$  for  $n \geq 2$ .

The  $C^*$ -algebra  $C_r^*(\mathcal{G}_A)$  is the Cuntz-Krieger algebra, whose  $K_i$ -groups are isomorphic to  $H_i$  above.

So, **HK conjecture** holds for  $\mathcal{G}_A$ .

## One-sided shifts of finite type (2/2)

The triple  $(\text{Coker}(\text{id} - A^t), [u_A], \det(\text{id} - A^t))$  is a complete invariant for the isomorphism class of  $\mathcal{G}_A$  within SFT groupoids (Matsumoto-M 2014).

We have  $[[\mathcal{G}_A]]_{\text{ab}} \cong H_1(\mathcal{G}_A) \oplus (H_0(\mathcal{G}_A) \otimes \mathbb{Z}_2)$ , i.e. **AH** holds. It's also known  $D([[ \mathcal{G}_A ]])$  is simple.

When  $X$  is the full shift over  $N$  symbols,  $[[\mathcal{G}_A]]$  is canonically isomorphic to the Higman-Thompson group  $V_N$ .

$[[\mathcal{G}_A]]$  is of type  $F_\infty$  (in particular, finitely presented).

$[[\mathcal{G}_A]]$  has the Haagerup property.

## Products of SFT (1/3)

Consider the product groupoid  $\mathcal{G}_{A_1} \times \mathcal{G}_{A_2} \times \cdots \times \mathcal{G}_{A_m}$ ,  
where  $\mathcal{G}_{A_i}$  is an SFT groupoid discussed in the previous slides.

### Theorem

$\mathcal{G}_1 = \mathcal{G}_{A_1} \times \mathcal{G}_{A_2} \times \cdots \times \mathcal{G}_{A_m}$  and  $\mathcal{G}_2 = \mathcal{G}_{B_1} \times \mathcal{G}_{B_2} \times \cdots \times \mathcal{G}_{B_n}$  are isomorphic if and only if

- $m = n$ ,
- *after permutation of indices*,  $\text{Coker}(\text{id} - A_i^t) \cong \text{Coker}(\text{id} - B_i^t)$   
and  $\det(\text{id} - A_i^t) = \det(\text{id} - B_i^t)$  for all  $i$ ,
- $(H_0(\mathcal{G}_1), [1_{\mathcal{G}_1^{(0)}}]) \cong (H_0(\mathcal{G}_2), [1_{\mathcal{G}_2^{(0)}}])$ .

## Products of SFT (2/3)

### Theorem

For any étale groupoid  $\mathcal{H}$  and any SFT groupoid  $\mathcal{G}_A$ ,

$$H_p(\mathcal{H} \times \mathcal{G}_A) \cong (H_p(\mathcal{H}) \otimes H_0(\mathcal{G}_A)) \oplus (H_{p-1}(\mathcal{H}) \otimes H_1(\mathcal{G}_A)) \\ \oplus \operatorname{Tor}(H_{p-1}(\mathcal{H}), H_0(\mathcal{G}_A)).$$

In particular, if **HK** holds for  $\mathcal{H}$ , then **HK** also holds for  $\mathcal{H} \times \mathcal{G}_A$ .

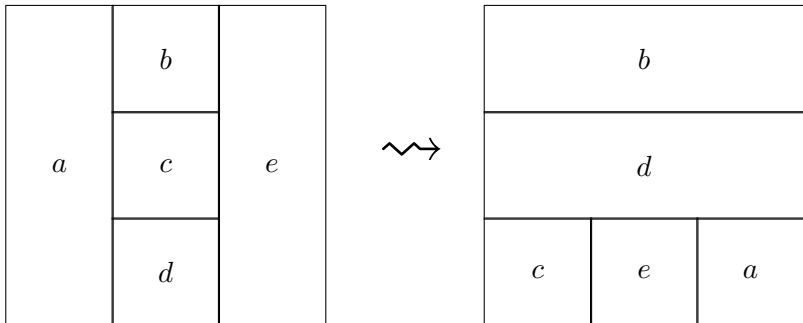
We can verify **weak AH** for  $\mathcal{G} = \mathcal{G}_{A_1} \times \mathcal{G}_{A_2} \times \cdots \times \mathcal{G}_{A_m}$ ,  
but it's not clear if AH is true or not.

We know  $D([\mathcal{G}])$  is simple.

When  $\mathcal{G} = \mathcal{G}_N \times \mathcal{G}_N \times \cdots \times \mathcal{G}_N$  is the  $k$ -fold product of the full shifts over  $N$  symbols,  $[\mathcal{G}]$  is known as a higher dimensional Thompson group  $kV_N$  and studied by Brin (2004) et al.

## Products of SFT (3/3)

$$[[\mathcal{G}_3 \times \mathcal{G}_3]] = 2V_3$$



## Stein's group (1/2)

Let  $P \subset \mathbb{R}_{>0}$  be the multiplicative subgroup freely generated by  $n_1, n_2, \dots, n_k \in \mathbb{N}$ . Let  $A = \mathbb{Z}[\frac{1}{n_1 n_2 \dots n_k}] \subset \mathbb{R}$ . Let  $r \in \mathbb{N}$ .

Stein's group  $V(r, A, P)$  consists of right continuous bijections of  $[0, r)$  which are piecewise linear, with finitely many discontinuities and singularities, all in  $A$ , slopes in  $P$ , and mapping  $A \cap [0, r)$  to itself.

$V(r, \mathbb{Z}[1/n], \langle n \rangle)$  is the Higman-Thompson group  $V_{n,r}$ .

Stein (1992) proved that  $V(r, A, P)$  is of type  $F_\infty$  and that  $D(V(r, A, P))$  is simple. She also computed  $V(r, A, P)_{\text{ab}}$ .

There exists an étale groupoid  $\mathcal{G}$  such that  $[[\mathcal{G}]] \cong V(r, A, P)$ .

## Stein's group (2/2)

### Theorem

Let  $\mathcal{G}$  be the étale groupoid corresponding to  $P = \langle n_1, n_2, \dots, n_k \rangle$ . Then  $H_0(\mathcal{G}) \cong \mathbb{Z}_d$  and  $H_1(\mathcal{G}) \cong (\mathbb{Z}_d)^{k-1}$ , where  $d = \gcd(n_1-1, \dots, n_k-1)$ . In particular, **AH** is true for  $\mathcal{G}$ .

HK conjecture is not yet verified in this case.

### Theorem

Let  $\mathcal{G}$  be the étale groupoid corresponding to  $P = \langle n_1, \dots, n_k \rangle$ , and let  $\mathcal{H}$  be the étale groupoid corresponding to  $Q = \langle m_1, \dots, m_l \rangle$ . If  $\mathcal{G} \cong \mathcal{H}$ , then  $k = l$  and  $\mathbb{Z}[\frac{1}{n_1 n_2 \dots n_k}] = \mathbb{Z}[\frac{1}{m_1 m_2 \dots m_k}]$ .

When  $P = \langle 2, 3 \rangle$  and  $Q = \langle 2, 5 \rangle$ , their homology groups are trivial. But we can distinguish them by the theorem above.



## Cleary's group (1/2)

Let  $\beta > 0$  be an irrational number.

Let  $P = \{\beta^n \mid n \in \mathbb{Z}\}$  and  $A = \mathbb{Z}[\beta, \beta^{-1}]$ .

Consider the group  $V_\beta$  consisting of right continuous bijections of  $[0, 1)$  which are piecewise linear, with finitely many discontinuities and singularities, all in  $A$ , slopes in  $P$ , and mapping  $A \cap [0, 1)$  to itself.

Cleary (1995, 2000) showed that  $V_\beta$  is of type  $F_\infty$  when  $\beta > 0$  satisfies  $\beta^2 + n\beta - 1 = 0$ ,  $n \in \mathbb{N}$ .

There exists an étale groupoid  $\mathcal{G}_\beta$  such that  $[[\mathcal{G}_\beta]] \cong V_\beta$ .

$K$ -groups of  $C_r^*(\mathcal{G}_\beta)$  were computed for many values of  $\beta$  by Carey-Phillips-Putnam-Rennie (2011).

## Cleary's group (2/2)

### Theorem

- ① When  $\beta > 0$  satisfies  $\beta^2 + n\beta - 1 = 0$ ,  $n \in \mathbb{N}$ ,

$$H_0(\mathcal{G}_\beta) = \mathbb{Z}_n, \quad H_1(\mathcal{G}_\beta) = \mathbb{Z}_2, \quad H_k(\mathcal{G}_\beta) = 0 \text{ for } k \geq 2.$$

- ② When  $\beta > 0$  satisfies  $\beta^2 - n\beta + 1 = 0$ ,  $n \in \mathbb{N} \setminus \{1, 2\}$ ,

$$H_0(\mathcal{G}_\beta) = \mathbb{Z}_{n-2}, \quad H_1(\mathcal{G}_\beta) = \mathbb{Z}, \quad H_2(\mathcal{G}_\beta) = \mathbb{Z}, \\ H_k(\mathcal{G}_\beta) = 0 \text{ for } k \geq 3.$$

In both cases, **HK** conjecture and **AH** conjecture are true for  $\mathcal{G}_\beta$ .